

## ESTIMATING $\|d\phi^t\|$ FOR UNIT VECTOR FIELDS WHOSE ORBITS ARE GEODESICS

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### Introduction

In the following, all manifolds, vector fields, etc., will be assumed to be real analytic. Let  $M$  be a connected,  $n$ -dimensional, complete riemannian manifold, and  $v$  a unit vector field (i.e.,  $|v| \equiv 1$ ) all of whose orbits are geodesics of  $M$  (i.e.,  $\nabla_v v \equiv 0$ ).

Although it is perhaps not really necessary, we also assume that *not all orbits of  $v$  are closed*, otherwise, by Wadsley's Theorem [11], there exists an  $S^1$ -action on  $M$  with the same orbits as  $v$ , and our problems should probably be studied in that context.

At each point  $x \in M$  define  $e_x = \max\{|\nabla_z v|^2 - K_{zv}\}$ , where  $z$  ranges over all unit vectors  $z \in T_x M$  perpendicular to  $v$ ; here  $K_{zv}$  denotes the sectional curvature of  $M$  at  $x$  with respect to the 2-plane spanned by  $z$  and  $v$ .

Let  $\phi^t$  be the flow generated by  $v$  and, for each  $x \in M$  and any  $t \geq 0$ , define  $E_{x,t} = \max_y e_y$ , where  $y$  ranges over the orbit interval  $[\phi^{-\sqrt{2}t}(x), \phi^{\sqrt{2}t}(x)]$ .

**Theorem II.** *Assume  $x \in M$  is such that  $e_x \geq 0$  (for example, at  $x$  suppose  $K_{zv} \leq 0$  for some  $z$  as above). Then for any unit vector  $u \in T_x M$  and all  $t \geq 0$  we have*

$$|d\phi^t(u)|^2 + |d\phi^{-t}(u)|^2 \leq 2 \cosh^2 t \sqrt{E_{x,t}}.$$

**Examples.** (i) In the (trivial) case when  $v$  is also a Killing vector field, it is easy to see that  $e_x \equiv 0$  and our inequality is sharp in this case (see §5).

(ii) If  $v$  is the geodesic flow on the unit sphere bundle  $SM^2$  of a surface  $M^2$ , and we consider the curvature as a function  $K: M \rightarrow R$ , then at the point  $x = (y, \xi_y)$  of  $SM^2$

$$2e_x = (K - 1)^2 + [(K^2 - 1)^2 + (dK(\xi))^2]^{1/2} \geq 0$$

and the above inequality holds with the corresponding  $E_{xt}$  (see §5).

Theorem II is an immediate corollary of a sharper inequality (see Theorem I, below) which however involves terms depending on  $d\phi^t$  on the right side, i.e., 'dynamic' terms, which do not seem to have an immediate 'static' expression like the quantity  $e_x$  above.

We also obtain a lower bound (see Theorem III of §4) from which as an immediate corollary we obtain

**Theorem IV.** Let  $e'_x = \min\{-K_{zv}\}$  where  $z$  ranges over all unit vectors perpendicular to  $v$ , and let  $E'_{xt} = \min e'_y$ , where  $y$  ranges over the orbit interval  $[\phi^{-\sqrt{2}t}(x), \phi^{\sqrt{2}t}(x)]$ . If  $E'_{xt} \geq 0$ , for any unit vector  $u \in T_x M$  and all  $t \geq 0$ , then we have

$$|d\phi^t(u)|^2 + |d\phi^{-t}(u)|^2 \geq 2 \cosh^2 t \sqrt{E'_{xt}}.$$

Notice as a corollary, via the Liapunov exponents, one obtains bounds on the entropy of  $\phi^t$ .

We thank K. Grove and the referee for helpful comments.

### 1. Statement of Theorem I

Denote by  $D$  the diagonal of  $M \times M$  (with the product riemannian metric). On  $M \times M$  define a unit vector field  $V_{(x,y)} = 2^{-1/2}(v_x, -v_y)$ , and let  $\phi^t$  and  $\Phi^t$  be the flows generated by  $v$  and  $V$  on  $M$  and  $M \times M$ , respectively.

Given  $x \in M$  and  $t$  we define a real number as follows:

$$\bar{e}_{xt} = \max_{\bar{Z}} \{|\nabla_{\bar{Z}} V|^2 - K_{\bar{Z}V} - |\beta(\bar{Z}, V)|^2\},$$

where  $\bar{Z} = d\Phi^t(Z)/|d\Phi^t(Z)|$  with  $Z \in T_{(x,x)}D$ ,  $\beta(\bar{Z}, V)$  is the orthogonal projection of  $\nabla_{\bar{Z}} V$  into the  $n$ -plane  $d\Phi^t(T_{(x,x)}D)$ , and  $K_{\bar{Z}V}$  is the sectional curvature of  $M \times M$  at  $\Phi^t(x, x)$  with respect to the 2-plane generated by  $\bar{Z}$  and  $V$ .

Define  $\bar{E}_{xt} = \max_{\tau \in [0, t]} \bar{e}_{x\tau}$  for  $\tau \in [0, t]$  and assume  $\bar{E}_{xt} \geq 0$ .

**Theorem I.** For any  $x \in M$  and any unit vector  $Z \in T_{(x,x)}D$  we have  $|d\phi^t(Z)| \leq \cosh t \sqrt{\bar{E}_{xt}}$  for all  $t$ ; i.e., since  $\Phi^t = (\phi^{t/\sqrt{2}}, \phi^{-t/\sqrt{2}})$ , for every unit vector  $u \in T_x M$  we have

$$|d\phi^t(u)|^2 + |d\phi^{-t}(u)|^2 \leq 2 \cosh^2 t \sqrt{2\bar{E}_{x\sqrt{2}t}} \quad \text{for all } t.$$

Theorem II is obtained by simply dropping the  $\beta$  term above.

The number  $\bar{e}_{xt}$  can also be obtained as follows: Given  $x \in M$  let  $\{b_i\}$  be any (not necessarily orthonormal) basis of  $T_x M$ . Then for each real  $t$  define an  $n \times n$  matrix  $B_t$  by

$$B_t = \{\langle d\phi^{t/\sqrt{2}}(b_i) d\phi^{t/\sqrt{2}}(b_j) \rangle\},$$

and set  $\mathcal{B}_t = B_t + B_{-t}$ . Thus  $2\bar{e}_{xt}$  is equal to the maximum eigenvalue of  $\mathcal{B}_t \mathcal{B}_t^{-1} - \frac{1}{2}(\mathcal{B}_t \mathcal{B}_t^{-1})^2$ .

Our proof of Theorem I simply consists of applying a version of the Rauch Comparison Theorem (as stated on p. 188 in [3]) to orbits of the vector field  $V$  suitably "lifted" to the graph,  $\mathfrak{G}(v)$ , of the 1-foliation defined by  $v$  on  $M$  (see §2).

The number  $\bar{e}_{xt}$  is the maximum of the negative of the sectional curvature at  $\Phi^t(x, x)$  of  $\mathfrak{G}$  with respect to all 2-planes containing  $V$ .

## 2. The associated vector field $\bar{V}$

In this section we substitute the study of  $v$  on  $M$  by the study of an intimately related vector field  $\bar{V}$  defined on the so-called graph,  $\mathfrak{G} = \mathfrak{G}(v)$ , of the 1-foliation defined by the vector field  $v$ .  $\bar{V}$  will also be a unit vector field whose orbits are geodesics of  $\mathfrak{G}$ , but in addition it will be the gradient of a certain riemannian submersion  $\delta: \mathfrak{G} \rightarrow R$ . Although perhaps this change of scenario is not so interesting in a purely topological way, it is nontrivial in the *differential geometric* sense.

Recall (see [12], [9]) that  $\mathfrak{G} = \mathfrak{G}(v)$  consists of all triples  $(x, y, [\alpha])$ , where  $x$  and  $y$  lie on the same orbit,  $\gamma$ , of  $v$ , and  $[\alpha]$  is an equivalence class of arcs  $\alpha$  contained in  $\gamma$ ; two arcs  $\alpha, \beta$  are equivalent if the (foliation theoretic) holonomy along  $\alpha\beta^{-1}$  is the identity. Hence, if  $v$  has no closed orbits as a set,  $\mathfrak{G}$  simply consists of the subset  $\{(x, y) \in M \times M \mid x \text{ and } y \text{ lie on the same orbit of } v\}$ , and it is convenient at first to go through our arguments by assuming this is the case.

If the holonomy of  $v$  is real analytic, then  $\mathfrak{G}$  is an  $(n+1)$ -manifold in a natural way [12, p. 62], and one has a canonical immersion  $I: \mathfrak{G} \rightarrow M \times M$  defined by  $I((x, y, [\alpha])) = (x, y)$  and two submersions  $p_1, p_2: \mathfrak{G} \rightarrow R$  defined by  $p_1((x, y, [\alpha])) = x$  and  $p_2((x, y, [\alpha])) = y$ .

We make  $\mathfrak{G}$  into a riemannian manifold by requiring that  $I$  be an isometric immersion, i.e., we pull back the product metric of  $M \times M$  via  $I$ .

Notice that the diagonal  $D$  (of  $M \times M$ ) is a totally geodesic submanifold of  $\mathfrak{G}$ .

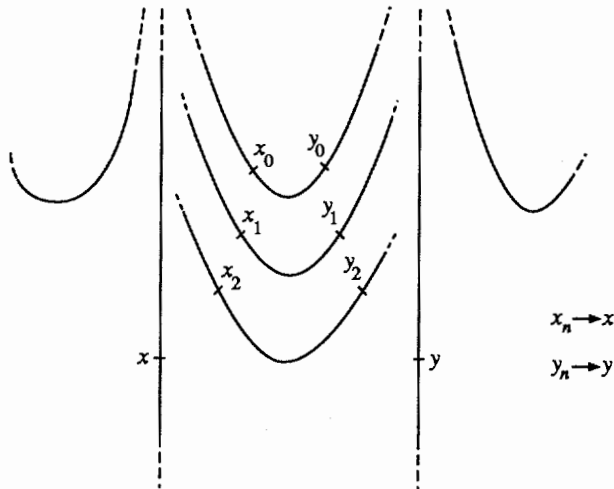


FIGURE 2.1

**Proposition 2.1.** *If the orbits of  $v$  are geodesics, then this riemannian metric of  $\mathfrak{G}(v)$  is complete for complete  $M$ .*

**Remark.** It is necessary that the orbits be geodesics.

Consider the one-foliation of  $R^2$  shown in Figure 2.1. The sequence  $z_n = (x_n, y_n) \in \mathfrak{G}$  shown is a divergent Cauchy sequence in  $\mathfrak{G}$ , since the limits  $x$  and  $y$  lie in different orbits.

*Proof of Proposition 2.1.* Let  $(x_n, y_n, [\alpha_n])$  be a Cauchy sequence in  $\mathfrak{G}$ . Then it is easy to see that  $x_n$  and  $y_n$  are Cauchy sequences in  $M$ , which converge to  $x$  and  $y$  respectively, since  $M$  is complete.

It is enough to show that  $x$  and  $y$  lie on the same orbit, and for this to happen it is enough to show that the lengths of the orbit segments  $s_n$  from  $x_n$  to  $y_n$  remain bounded for all  $n$ .

Let  $a_n(t)$  and  $b_n(t)$ ,  $t \in [0, 1]$ , be two smooth arcs in  $M$  from  $x_0$  to  $x_n$  and  $y_0$  to  $y_n$ , respectively, such that for all  $t \in [0, 1]$ ,  $a(t)$  and  $b(t)$  lie on the same orbit, and let  $\Sigma$  denote the two-dimensional surface of  $M$  consisting of the union of the orbit arcs  $s_t$  from  $a(t)$  to  $b(t)$  for all  $t \in [0, 1]$  (see Figure 2.2). Let  $w$  be the dual 1-form of  $v$ . Then (see Wadsley [11, p. 542])  $dw(\cdot, v) = 0$ , and so  $dw = 0$  on the 2-plane fields tangent to the surface  $\Sigma$ .

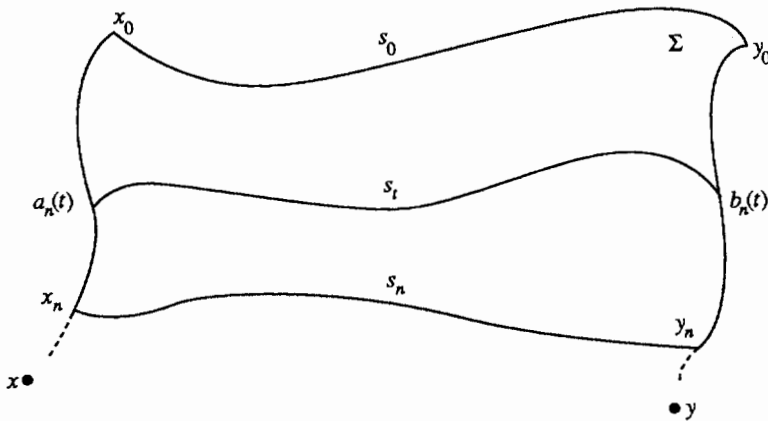


FIGURE 2.2

Applying Stokes' Theorem we obtain

$$\int_a w + \int_{s_0} w + \int_{s_n} w + \int_b w = \int_{\Sigma} dw = 0,$$

which shows the lengths of the orbit segments  $s_n$  are bounded for all  $n$  and Proposition 2.1 is proved.

**Proposition 2.2.** *If not all orbits of  $v$  are closed, then no closed orbit  $\gamma$  can have (foliation theoretic) holonomy of finite order.*

*Proof.*<sup>1</sup> Let  $\phi^t(x)$  denote the flow of  $v$ . If  $\gamma_x$ , of length  $c$ , were such an orbit, it would follow from Wadsley's proof [8, Corollary 4.4 and the fact  $B_1 = \emptyset$ ] that  $\phi^c(x)$  is the identity in a neighborhood of  $\gamma_x$  and hence, since  $v$  is real analytic, it is the identity on all of  $M$ , i.e., all orbits would be closed and of length  $c$ .

Let  $\bar{V}$  denote the unique  $C^\omega$  vector field of  $\mathfrak{G}$  defined at  $(x, y, [\alpha])$  by  $dp_1(\bar{V}) = v_x/\sqrt{2}$  and  $dp_2(\bar{V}) = -v_y/\sqrt{2}$ , where  $p_1$  and  $p_2$  are the natural projections of  $\mathfrak{G}$  into  $M$ , i.e.,  $dI(\bar{V}) = V$ .

**Proposition 2.3.** *There exists a riemannian submersion  $\delta: \mathfrak{G} \rightarrow R$  such that  $\bar{V} = \text{grad } \delta$ .*

*Proof.* Let  $U$  be a neighborhood of the diagonal  $D$  in  $M \times M$  which is so small that the function  $\delta_0: U \rightarrow R$  defined by  $\delta_0(x, y) =$  oriented

<sup>1</sup>We thank Y. Carrière for this short proof.

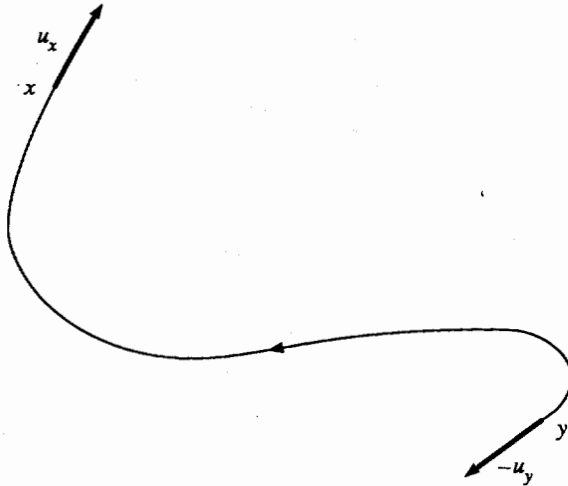


FIGURE 2.3

distance in  $M$  from  $x$  to  $y$  (with respect to the orientation of  $v$ ) is well defined. By the Gauss Lemma applied to  $D$ , the gradient of  $\delta_0$  coincides (up to sign) with the vector  $(u_x, -u_y)/\sqrt{2}$  of  $M \times M$  at  $(x, y)$ , where  $u_x$  and  $u_y$  are unit vectors of  $M$  tangent at  $x$  and  $y$  to the unique minimizing geodesic segment of  $M$  from  $x$  to  $y$  (see Figure 2.3).

Let  $U_0$  denote a small enough neighborhood of  $D$  in  $\mathfrak{G}$ . Since the orbits of  $v$  are geodesics of  $M$ , the vector field  $\bar{V}$  of  $\mathfrak{G}$  restricted to  $U_0$  coincides (up to sign) with the gradient of the function  $\delta = \delta_0 \cdot I: U_0 \rightarrow R$ , where  $I: \mathfrak{G} \rightarrow M \times M$  is the natural isometric immersion. Since the orbits of  $V$  are geodesics in  $M \times M$ , the orbits of  $\bar{V}$  are geodesics in  $\mathfrak{G}$  and so the function  $\delta$  is a *riemannian* submersion on  $U_0$  (see [9, p. 155]).

Since  $U_0$  is open in  $\mathfrak{G}$  and  $\bar{V}$  is real analytic, the same holds in all of  $\mathfrak{G}$ , where now the function  $\delta$  is defined at any  $(x, y, [\alpha]) \in \mathfrak{G}$  as the oriented distance in  $M$  between  $x$  and  $y$  along the orbit  $\gamma$  with  $\alpha$  indicating (in the case  $\gamma$  is closed) how many times one has to go around  $\gamma$ .

By Proposition 2.2,  $\delta$  is actually univalent, and since  $I$  is injective (when restricted to  $U_0$ ), it coincides with the local  $\delta$  above.

**Remark.** Let  $w$  be the dual 1-form to  $v$ . Then it is easy to see that the 1-form on  $\mathfrak{G}$ ,  $\Omega = \frac{1}{2}(p_1^*(w) - p_2^*(w))$ , is closed in  $\mathfrak{G}$ , and it is just the differential of  $\delta: \mathfrak{G} \rightarrow R$  by Proposition 2.3. In the case (excluded

in this paper) where all orbits of  $v$  are closed, however,  $\Omega$  can define a nontrivial element of  $H^1(\mathfrak{G}, R)$ .

### 3. Proof of Theorem I

In the following all geodesics will always be parametrized with respect to arc length. Let  $W$  be a complete riemannian manifold and  $p: W \rightarrow R$  a riemannian submersion. Recall that then  $|\text{grad } p| \equiv 1$  and every orbit of  $\text{grad } p$  is a geodesic of  $W$  and conversely [9, p. 155]. We need the following results for whose proofs we give references when needed.

**Lemma 3.1** (see [13, p. 262, Theorem 5.1]). *No fiber  $p^{-1}(\tau)$ ,  $\tau \in R$ , has focal points.*

Let  $F = p^{-1}(0)$  be totally geodesic in  $W$ . Let  $\psi^t$  denote the flow on  $W$  generated by the gradient of  $p$ , and  $\gamma_x$  the orbit of  $\text{grad } p$  through  $x$ .

**Lemma 3.2.** *For all  $t$ , any  $x \in f$  and any unit vector  $u \in T_x F$ ,  $d\psi^t(u) = J(t)$ , where  $J$  is the unique Jacobi field of  $W$  along  $\gamma_x$  such that  $J(0) = u$  and  $\dot{J}(0) = 0$ .*

*Proof.* Let  $\alpha(s)$  be a curve in  $F$  through  $x$  such that  $\frac{d\alpha}{ds} = u$  for  $s = 0$ , and consider the variation  $\rho(s, t) = \psi^t(\alpha(s))$ . Then the Jacobi field  $J = \frac{\partial \rho}{\partial s}(0, t)$  satisfies  $J(0) = u$  and is normal to  $\dot{\gamma}_x(t)$ , and hence by [3, Proposition 3.6, p. 100]  $\dot{J}(0)$  is also perpendicular to  $\dot{\gamma}_x(0)$ . However, since  $F$  is totally geodesic in  $W$ , by [3, Lemma 4.1, p. 181] (with  $N = F$ )  $\dot{J}(0)$  is also parallel to  $\dot{\gamma}_x(0)$ , i.e.,  $\dot{J}(0) = 0$ .

Combining this with a version of the Rauch Comparison Theorem [3, Theorem 4.7, p. 188] we obtain

**Lemma 3.3.** *Let  $m_t$  be the minimum of the sectional curvature of  $W$  along the orbit interval  $[\gamma_x(0), \gamma_x(t)]$  of  $\gamma_x$  with respect to all 2-planes tangent to  $\gamma_x$ , and assume  $m_t \leq 0$ . Then  $|d\psi^t(u)| \leq \cosh t \sqrt{-m_t}$  for every unit vector  $u \in T_x F$ .*

Here we have also used the following elementary fact (with  $K = m_t$ ): On a manifold of constant nonpositive sectional curvature  $K$ , a Jacobi field  $J$  with the above properties satisfies  $|J(t)| = \cosh t \sqrt{-K}$  with respect to any geodesic (see [6, p. 119]).

Denote by  $\bar{\Phi}^t$  the flow of  $\bar{V}$  on  $\mathfrak{G}$ . Since the diagonal  $D$  is a totally geodesic submanifold of  $\mathfrak{G}$ , by Proposition 2.3 we can apply Lemma 3.3 (with  $\mathfrak{G} = W$ ,  $\delta = p$ ,  $D = F$ ,  $\bar{\Phi}^t = \psi^t$ ) and obtain  $|d\bar{\Phi}_{(x,x)}^t(z)| \leq \cosh t \sqrt{-m_t}$  for any unit vector  $z$  tangent to the diagonal  $D$ .

Since  $I: \mathfrak{G} \rightarrow M \times M$  is an isometric immersion we have  $|d\bar{\Phi}| = |d\Phi|$ , and since the following computations are local we assume  $\mathfrak{G}$  is a submanifold of  $M \times M$  with the induced riemannian metric.

We show  $-m_t = \bar{E}_{xt}$  (see §1): let  $Z \in T\mathfrak{G}$ , let  $\alpha(Z, V)$  be the second fundamental form of  $\mathfrak{G}$  in  $M \times M$ , and let  $\bar{K}_{ZV}$  and  $K_{ZV}$  be the sectional curvatures of  $\mathfrak{G}$  and  $M \times M$ , with respect to the 2-plane spanned by  $Z$  and  $V$ . Since  $\alpha(V, V) = 0$ , the Gauss formula gives  $-\bar{K}_{ZV} = |\alpha(Z, V)|^2 - K_{ZV}$ , and if  $\bar{\nabla}$  and  $\nabla$  denote the covariant derivatives in  $\mathfrak{G}$  and  $M \times M$ , we have  $|\nabla_Z V|^2 = |\bar{\nabla}_Z V|^2 + |\alpha(Z, V)|^2$  and  $\langle V, \bar{\nabla}_Z V \rangle = 0$  (because  $|V| \equiv 1$ ). Since the manifold  $\bar{\Phi}^t(D)$  is a fiber of  $\delta: \mathfrak{G} \rightarrow R$ , i.e., perpendicular to  $\bar{V}$ , we get  $\bar{\nabla}_Z V = \beta(Z, V)$  (see §1), i.e.,  $-\bar{K}_{ZV} = |\nabla_Z V|^2 - K_{ZV} - |\beta(Z, V)|^2$ , and  $-m_t = \bar{E}_{xt}$  follows.

To prove Theorem II simply drop the  $\beta$  term in Theorem I and compute in terms of  $M$  (instead of  $M \times M$ ).

Finally, we need the following.

**Lemma 3.4.** *Let  $w$  be a unit vector field with geodesic orbits on the riemannian manifold  $N^n$ , and let  $f^t$  denote its flow. In addition, assume the  $(n-1)$ -plane field,  $w^\perp$ , perpendicular to  $w$ , is integrable, let  $a_j$  ( $j = 1, \dots, n-1$ ) be a basis of  $w^\perp$  at a point  $x \in N$ , and let  $A_t$  denote the  $(n-1) \times (n-1)$  matrix  $\{\langle df^t(a_i), df^t(a_j) \rangle\}$ . Then  $\mu_{xt} = \max\{-K_{zw}\}$  at  $f^t(x)$  is equal to the maximum eigenvalue of the matrix  $\frac{1}{2}\dot{A}_t A_t^{-1} - \frac{1}{4}(A_t A_t^{-1})^2$ , where  $z$  ranges over all unit vectors perpendicular to  $w$ .*

*Proof.* Let  $y_j$ ,  $j = 1, \dots, n-1$ , be a chart of the leaf through  $x$  such that  $\partial/\partial y_j = a_j$  at  $x$ . Consider the chart of  $N$  at  $x$  defined by  $x_i = f^t(y_i)$  for  $i < n$  and  $x_n = t$ , and set  $X_i = \partial/\partial x_i$ ; thus  $X_n = w$ .

Let  $G_t$  denote the  $n \times n$  matrix  $\{g_{ij}\}$  at  $f^t(x)$  of this chart, and observe that since  $g_{nn} \equiv 1$  and  $w^\perp$  is integrable,  $G_t$  is obtained from  $A_t$  by adding a 1 to the diagonal and 0's elsewhere, i.e.,  $g_{ni} = 0$  for  $i < n$ . This implies  $\{\Gamma_{in}^s\} = \frac{1}{2}\dot{G}_t G_t^{-1}$  for all  $i < n$ ; furthermore, since  $\Delta_w w \equiv 0$ ,  $\Gamma_{nn}^s = 0$ .

Let  $R$  denote the curvature tensor of  $N$ ; by a well-known elementary fact about matrices,  $-\nu_{xt}$  above, i.e., the maximum of the quadratic form  $\langle R(w, z)w, z \rangle$  (where  $|z| = 1$ ,  $z \in w^\perp$ ), is equal to the maximum eigenvalue of the matrix  $\{R_{njn}^s\}$  defined by  $R(w, X_j)w = R(X_n, X_j)X_n = \sum_s R_{njn}^s X_s$ . Since

$$R_{njn}^s = \sum_l \Gamma_{nn}^l \Gamma_{jl}^s - \sum_l \Gamma_{jn}^l \Gamma_{nl}^s + \frac{\partial}{\partial x_j} \Gamma_{nn}^s - \frac{\partial}{\partial x_n} \Gamma_{jn}^s$$



(see [3, (2), p. 81]), the relations above imply

$$\begin{aligned} -R_{njn}^s &= \frac{\partial}{\partial x_n} \Gamma_{jn}^s + \sum_l \Gamma_{jn}^l \Gamma_{nl}^s = \frac{1}{2}(\dot{G}_t G_t^{-1})^1 + \frac{1}{4}(\dot{G}_t G_t^{-1})^2 \\ &= \frac{1}{2}\ddot{G}_t G_t^{-1} - \frac{1}{4}(\dot{G}_t G_t^{-1})^2, \end{aligned}$$

whose eigenvalues are the same as those of the  $(n - 1) \times (n - 1)$  matrix  $\frac{1}{2}\ddot{A}_t A_t^{-1} - \frac{1}{4}(\dot{A}_t A_t^{-1})^2$ , and Lemma 3.4 is proven.

To obtain the remaining unproven result of §1 apply Lemma 3.4 with  $N = \mathfrak{G}$ ,  $w = \bar{V}$  and  $f^t = \bar{\Phi}^t$ .

#### 4. A lower bound

Define  $\bar{e}'_{xt}$  as in §1 except using the minimum (instead of the maximum) and let  $\bar{E}'_{xt} = \min \bar{e}'_{x\tau}$  for  $\tau \in [0, t]$ ; suppose  $\bar{E}'_{xt} \geq 0$ .

**Theorem III.** For any  $x \in M$  and any unit vector  $u \in T_x M$ , we have

$$|d\phi^t(u)|^2 + |d\phi^{-t}(u)|^2 \geq 2 \cosh^2 t \sqrt{2\bar{E}'_{x\sqrt{2}t}} \text{ for all } t \geq 0.$$

*Proof.* This is a straightforward consequence of our Lemma 3.2 (with  $W = \mathfrak{G}$ ,  $p = \delta$ ,  $\psi^t = \bar{\Phi}^t$ ,  $F = D$ ), inequality 4.10 of [5, Theorem 4.1', p. 48] and our computations in §3 above.

Since  $|\nabla_{\bar{Z}} V|^2 \geq |\beta(\bar{Z}, V)|^2$  Theorem IV follows immediately.

#### 5. Examples

(i) Using the notation of the Introduction one easily shows (using formula (9), p. 47 of [3]) that at every  $x \in M$

$$|\nabla_z v|^2 - K_{zv} = v \langle z, \nabla_z v \rangle + \langle z, \nabla_{[z,v]} v \rangle + \langle [z, v], \nabla_z v \rangle$$

for every unit vector  $z \in T_x M$  perpendicular to  $v$ . Hence, using Killing's equation [3, p. 72] we obtain  $e_x \equiv 0$  if  $v$  is also a Killing vector field.

Although, of course, this case is trivial, this formula relates, in general, the quantity  $e_x$  to the obstruction of  $v$  being Killing.

(ii) Let  $S$  denote the vector field which generates the geodesic flow on the unit sphere bundle,  $SM$  (provided with the Sasaki metric), of a riemannian manifold  $M$ . Then  $S$  is a unit vector field whose orbits are geodesics (see [10]).

First observe that the quantity  $|\nabla_Z S|^2 - K_{ZS}$ , where  $Z \in TSM$ ,  $\langle Z, S \rangle = 0$ , is the same, whether we compute in  $SM$  or in  $TM$ , and so we use  $TM$ .

We use the notation [4, p. 76] and [7], which we assume the reader has at hand. (Notice that their curvature tensor  $R$  is the negative of ours.)

Let  $\xi$  be a unit vector belonging to  $T_x M$ ; the following quantities of  $TTM$  are assumed to be computed at the point  $(x, \xi)$  of  $SM$ .

The unit normal vector field of  $SM$  in  $TM$  is given by  $n = \sum \xi^i X_i^\nu$  and  $S = \sum \xi^i X_i^h$ . Using formulas (10) and (11) of [7, p. 125] and formulas (24) and (25) of [4, p. 79] one computes

$$\tilde{\nabla}_{U^h} S = -\frac{1}{2}[R(\xi, U)\xi]^\nu \quad \text{and} \quad \tilde{\nabla}_{U^\nu} S = U^h - \frac{1}{2}[R(\xi, U)\xi]^h$$

for any vector field  $U$  of  $M$ .

Let  $Z \in TTM$ , set  $Z = Y_1^h + Y_2^\nu$ , where  $Y_1, Y_2 \in T_x M$ , and notice if  $\langle Z, S \rangle = 0$  and  $Z \in TSM$  (i.e.,  $\langle Z, n \rangle = 0$ ) then  $\langle Y_1, \xi \rangle = \langle Y_2, \xi \rangle = 0$  in  $T_x M$ .

Now let  $\dim M = 2$  and, given  $U \in TM$ , let  $\bar{U}$  denote a unit vector normal to  $U$ ; consider the sectional curvature of  $M$  as a function  $K: M \rightarrow R$ .

Using formulas (18) and (21) of [7] and the fact that if  $\dim M = 2$  then  $\langle (\nabla_U R)(U, \bar{U})U, \bar{U} \rangle = -dK(U)$  for any unit vector field  $U$  of  $M$ , we obtain

$$|\tilde{\nabla}_Z S|^2 - K_{ZS} = K^2 |Y_1|^2 + |Y_2|^2 + Y_1' Y_2' dK(\xi) - K$$

(where  $Y_1', Y_2'$  denote the numbers defined by  $Y_1 = Y_1' \bar{\xi}$ ,  $Y_2 = Y_2' \bar{\xi}$ ) from which the expression for  $e$  of  $S$  of  $SM^2$  at the point  $(x, \xi_x)$  in the Introduction easily follows.

## 6. Remarks and questions

Our method is quite different and more general than those of [1], [2] and [8] which start off by assuming  $v$  is a geodesic flow. Furthermore, when applied to geodesic flows (at least on surfaces) our inequality in §5(ii) is sharper than the easily obtained inequality in [2, Appendix, p. 270].

Are our inequalities sharp enough to solve Osserman's problem [1, Problem 1.8, p. 6]?

Are Theorems II and IV really the sharpest 'static' corollaries of Theorems I and III? Even in the case of geodesic flows?

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